

Principal adverse sustainability impacts statement

Financial market participant: International Asset Management Limited (“IAM”) (LEI: 213800UPCTZ7JX9YXN13)

Summary

IAM considers principal adverse impacts of its investment decisions on sustainability factors. The present statement is the consolidated principal adverse sustainability impacts statement of IAM.

Please refer to IAM’s Responsible Investment Framework for a summary of IAM’s principal adverse impacts statement.

Description of principal adverse sustainability impacts

Please refer to IAM’s Responsible Investment Frame for a summary of IAM’s principal adverse impacts statement.

Description of policies to identify and prioritise principal adverse sustainability impacts

The Responsible Investment Framework has been implemented on three levels:

- Review of internal policies and procedures at IAM in order to incorporate ESG issues into our investment analysis and decision-making process
- Review of corporate policies of all managers across the portfolio of hedge funds and the sub-funds on IAM Investments ICAV (the “ICAV Funds”) for any ESG issues
- Screening of underlying portfolios of the ICAV funds against a pre-determined set of ESG issues and Product Involvement parameters

Further details are outlined in the [Responsible Investment Framework](#).

Engagement policies

Please refer to [IAM’s Stewardship Code and Shareholder Engagement Disclosure](#).

References to international standards

IAM has been the signatory to UN PRI since October 2018. As UN PRI signatory, IAM committed to the following six Principles for Responsible Investment:

- To incorporate Environmental, Social and Corporate Governance (ESG) issues into investment analysis and decision-making processes
- To be an active owner and to incorporate ESG issues into our ownership policies and practices
- To seek appropriate disclosure on ESG issues by the entities in which we invest
- To promote acceptance and implementation of the Principles within the investment industry
- To work with the PRI Secretariat and other signatories to enhance their effectiveness in implementing the Principles
- To report on our activities and progress towards implementing the Principles.

Historical comparison

This is the first principal adverse impacts statement made by IAM and, as such, there is no historical comparison.

Alignment of remuneration policy with sustainability risks

IAM's remuneration policy is designed to promote effective risk management in all aspects of IAM's business. While sustainability risk considerations have not been explicitly considered in the remuneration policy to date, the senior management believe that the remuneration policy is consistent with such goals. IAM will further review its remuneration policy in due course.

10 March 2021